

GP-3753

#9

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: UMESH GUPTA ET AL.

Filed: OCTOBER 3, 2001

For: SYSTEMS AND METHODS FOR RESOURCE MONITORING IN
INFORMATION STORAGE ENVIRONMENTS

Serial No.: 09/970,452

Group Art Unit: 3753

Examiner: UNKNOWN

Atty Docket No.: SURG:159

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TECHNOLOGY CENTER R3700

Pursuant to 37 C.F.R. 1.8, I certify that this correspondence is being deposited with the U.S. Postal Service in a first class, postage prepaid envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date below:

2-8-02
Date

Marty Bowen
Name

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, it is respectfully requested that this Information Disclosure Statement be entered and the document(s) listed on attached Form PTO-1449 be considered by the Examiner and made of record.

In accordance with 37 C.F.R. §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).


The present Information and Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in

accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however; should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Deposit Account No. 10-1205/SURG:159

A copy of the listed document(s) required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

Applicant respectfully requests that the listed document(s) be made of record in the present case.

Respectfully submitted,


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Enclosures

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6-1202**Form PTO-1449** (modified)

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List of Patents and Publications for Applicant's

Applicant

Gupta et al.

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10/3/01

Group:

3753

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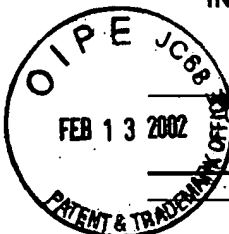
See Page 1

Foreign Patent Documents

See Page 2

Other Art

See Pages 2-5

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	A4	6,002,667	12/14/99	Manning et al.			7/18/96
	A5	5,996,013	11/30/99	Delp et al.			4/30/97
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	A10	5,307,347	4/26/94	Duault et al.			4/10/92
	A11	5,490,258	2/6/96	Fenner			9/29/92
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Form PTO-1449 (modified)

List of Patents and Publications for Applicant's

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3753U.S. Patent Documents
See Page 1Foreign Patent Documents
See Page 2Other Art
See Pages 2-5**Foreign Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1	WO 99/33229	7/1/99				Yes
	B2	WO 99/03298	1/21/99				Yes
	B3	WO 97/47113	12/11/97				Yes

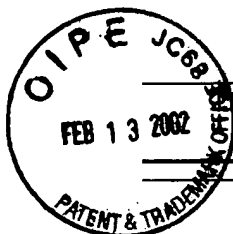
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	C1	"Network Processor Designs for Next-Generation Networking Equipment White Paper" http://www.exchip.com/html/tech_nsppaper.html , 8/30/00, pgs. 1-8
	C2	"C5DCP: C-5 Digital Communications Processor (DCP)" http://ebus.mot-sps.com/ProdCat/psp/0,1250,C5DCP~M957198845249,00.html , 9/11/00, pgs. 1-5
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	C4	Product Brief, Prism IQ2000, Family of Network Processors, "Ushering In A new Era Of Performance and Flexibility, pgs. 1-4
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	C6	Press Release, Vitesse and Power X Announce Agreement To Provide Solutions For High-Performance Networking Applications, http://www.sitera.com/news_events/pr_archive/partner_pr/pr090999.html , 9/19/00, pgs 1-3
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See Page 1

Foreign Patent Documents

See Page 2

Other Art

See Pages 2-5

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	C11	"ASP Server Farm Load Balancing" http://www.asptoday.com/articles/20000822.htm , 10/10/00, pgs. 1-5
	C12	"Network Processor Units: The Rising Storm" http://www.sunworld.com/sunworldonline/swol-07-2000/f_swol-0700-npu.html , 8/30/00, pgs. 1-6
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	C16	Blake, et al., "An Architecture for Differentiated Services", Dec. 1998
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3753

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See Page 1

Foreign Patent Documents

See Page 2

Other Art

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	C38	Chen, et al., "Optimization of the Grouped Sweeping Scheduling (GSS) with Heterogeneous Multimedia Streams," 7 pages

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See Page 1

Foreign Patent Documents

See Page 2

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	C54	Lee, et al., "Exploiting Caching for Realtime Multimedia Systems," 6 pages

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